

UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT SEATTLE

ERIC and LYSA UHLER, husband and wife  
and the marital community composed  
thereof,

Plaintiffs,

v.

TROOPER CHASE VAN CLEAVE,  
TROOPER BRENT MERTENS, and  
TROOPER ANDREW RAMOS,

Defendants.

Case No. C16-1278RSM

ORDER GRANTING PLAINTIFFS'  
MOTION FOR PROTECTIVE ORDER

**I. INTRODUCTION**

This matter comes before the Court on Plaintiffs' Motion for a Protective Order Limiting Discovery of Plaintiffs' Medical Records. Dkt. #19. Plaintiffs argue that the medical records sought by Defendants are not relevant, and that the requests for records sought by Defendants are overbroad and not proportional to the needs of the case. *Id.* Defendants respond that the records sought are relevant to Plaintiffs' claims and to their defenses. Dkt. #21. For the reasons set forth below, the Court disagrees with Defendants and GRANTS Plaintiffs' motion.

**II. BACKGROUND**

In this case, Plaintiffs allege as follows:

1           5.1           Around 7:00p.m. on March 11, 2016, a man named Mutasim  
2           Hamid, who was driving a truck towing a flatbed car trailer, collided with  
3           Lysa Uhler's car on northbound I-405 south of SR 167.

4           5.2           The minor collision caused no injuries and the only notable  
5           damage was to Mrs. Uhler's side mirror.

6           5.3           Mr. Hamid and Mrs. Uhler pulled their cars to the side of the  
7           road. They used their phones to take pictures of the vehicles, and Mr.  
8           Hamid took pictures of Mrs. Uhler's driver's license and insurance card.

9           5.4           Mrs. Uhler took a picture of Mr. Hamid's driver's license, but  
10          he would not give her insurance information. Unsure of what to do, Mrs.  
11          Uhler called her husband (Eric), who was also driving home after they had  
12          eaten dinner together.

13          5.5           Mr. Uhler came to the scene and asked that Mr. Hamid provide  
14          insurance information. When Mr. Hamid refused, the Uhlers decided to go  
15          home. The Uhlers saw no reason to wait any longer on the side of a busy  
16          freeway in the dark and rain, as Mrs. Uhler could drive her car safely, and  
17          there were no injuries.

18          5.6           After the Uhlers left, Mr. Hamid called the police. He appeared  
19          to have difficulty speaking and understanding English, but eventually he  
20          alleged to the 911 dispatcher that he had been in a collision and that a man  
21          had come to the scene, "choked" him, and "took everything" he had in his  
22          car.

23          5.7           Washington State Troopers Chase Van Cleave, Brent Mertens,  
24          and Andrew Ramos responded to the scene of the collision.

25          5.8           Mr. Hamid repeated his allegations, but this time he said that  
26          the man who came to the scene had grabbed his shirt collar and stolen his  
27          keys. Trooper Ramos later noted in his report that Mr. Hamid "did not have  
28          the best English."

          5.9           Mr. Hamid did not have any visible injuries or damage to his  
          truck.

          5.10          Trooper Mertens and Trooper Van Cleave used the pictures on  
          Mr. Hamid's phone of the Uhlers' vehicles to look up their Department of  
          Licensing photographs. Mr. Hamid identified Mrs. Uhler as the driver of  
          the other vehicle, but he told Trooper Van Cleave that Eric Uhler did *not*  
          look like the man who had come to the scene.

          5.11          Troopers Mertens, Ramos, and Van Cleave decided to go to the  
          Uhlers' home that night to follow up on Mr. Hamid's allegations.

1           5.12       The Troopers had the Uhlers' phone number, but they chose not  
2           to call them first.

3           5.13       The Uhlers live on Shady Lake in unincorporated King County,  
4           east of Renton.

5           5.14       The Uhlers' property is connected to the street by a large  
6           circular driveway to the west of their house. A garage sits next to the house  
7           on the west side of the property.

8           5.15       A "Private Property: No Trespassing" sign is posted on the  
9           garage and is visible from the driveway as one approaches either the garage  
10          or the house.

11          5.16       A paved pathway and stairs leads from the driveway to the main  
12          entrance on the north side of the Uhlers' house. A porch light illuminates  
13          the entrance and the entrance has a doorbell.

14          5.17       On the south side of the home, a breezeway connects the garage  
15          to the house. A wood deck and paved pathway and stairs lead to another  
16          entrance on the south side of the house. This entrance also has a porch light  
17          and a doorbell.

18          5.18       The east side of the home faces Shady Lake. There is a large  
19          backyard that runs down to the lake and is bordered by a hedge to the north  
20          and a fence to the south. There is also a covered patio that is connected to  
21          the Uhlers' home. A door opens onto the patio from the Uhlers' daylight  
22          basement.

23          5.19       The patio door does not have a doorbell and is not visible from  
24          the driveway.

25          5.20       Troopers Mertens, Ramos, and Van Cleave arrived at the  
26          Uhlers' property around 9:00 pm.

27          5.21       Sunset that night was at 6:10PM and it was completely dark  
28          outside.

          5.22       Instead of entering the driveway, the Troopers left their cars on  
          the road and walked onto the Uhlers' property.

          5.23       The Troopers examined the vehicles in the driveway and garage  
          where the "No Trespassing" sign was posted.

1           5.24       Instead of approaching either of the visible entrances on the  
2 north or south sides of the house, the Troopers walked down the side of the  
3 property through the grass into the unlit backyard and began shining  
4 flashlights around the yard and into the windows of the home. Trooper Van  
5 Cleave entered the covered back patio connected to the home.

6           5.25       Trooper Ramos later acknowledged in his report that he  
7 believed the north entrance "to be the front door," but he instead followed  
8 Trooper Van Cleave down the side of the home to the back.

9           5.26       Mr. and Mrs. Uhler were watching television in their daylight  
10 basement when they noticed dark figures with flashlights in their back yard.  
11 They were both in their pajamas. Mrs. Uhler was wearing only pajama  
12 shorts and a tank top. Mr. Uhler was wearing shorts and a t-shirt.

13           5.27       Concerned about burglars, Mrs. Uhler went to get her phone to  
14 call 911 while Mr. Uhler went onto the patio to investigate. When Mrs.  
15 Uhler heard the individuals identify themselves as law enforcement, she  
16 joined Mr. Uhler on the patio.

17           5.28       The Troopers began shouting at the Uhlers, demanding to know  
18 why they had left the scene of the accident and why they hadn't called the  
19 police if Mr. Hamid had refused to give insurance information.

20           5.29       Moments later, when Mr. Uhler took a few steps toward the  
21 Troopers, Troopers Mertens and Ramos grabbed his arms while Trooper  
22 Van Cleave got out his Taser and held it to Mr. Uhler's neck, threatening to  
23 tase him.

24           5.30       Troopers Mertens and Ramos took Mr. Uhler to the ground and  
25 handcuffed him while Trooper Van Cleave pressed his knee onto Mr.  
26 Uhler's neck and back.

27           5.31       Mr. Uhler tried to move and yelled that he felt like he couldn't  
28 breathe, but Trooper Van Cleave kept him pressed face down against the  
ground.

          5.32       Troopers Mertens and Ramos handcuffed Mrs. Uhler and told  
her to sit down in a metal patio chair, which she did.

          5.33       Because of the ongoing distress exhibited by Mr. Uhler, Mrs.  
Uhler asked if she could approach her husband to try to calm him down.  
Trooper Ramos said that she could, but when she got near Mr. Uhler,  
Trooper Ramos pulled her back and ultimately forced her back into the  
chair, injuring her shoulder and back.

1           5.34       One of the Troopers then told Mrs. Uhler she was under arrest  
2       for obstruction. Two Troopers took her back up the side of the house and  
3       placed her in Trooper Mertens' patrol car.

4           5.35       Other officers from the Washington State Patrol and the King  
5       County Sheriff's Office began arriving on the scene, including the King  
6       County Sheriffs "Guardian One" helicopter, which hovered above the  
7       Uhlers' property shining a spotlight down on their backyard.

8           5.36 By this time, a group of the Uhlers' neighbors had gathered along their  
9       driveway to watch what was happening.

10          5.37       A group of officers put Mr. Uhler in leg restraints and carried  
11       him up the side of the property where they sat him on the push bars of a  
12       patrol car.

13          5.38       Troopers Ramos, Mertens, and Van Cleave began preparing to  
14       take the Uhlers to jail and impound Mr. Uhler's truck in order to search it  
15       for evidence of the keys Mr. Hamid alleged had been stolen. Meanwhile,  
16       the Uhlers were kept handcuffed in their pajamas, Mrs. Uhler in the back of  
17       Trooper Mertens' patrol car and Mr. Uhler sitting on the push bars of  
18       another patrol car in the rain.

19          5.39       At some point, Washington State Patrol Trooper Daniel  
20       Duefrane and Sergeant Pete Stock arrived at the scene.

21          5.40       Around 10:00 PM, Trooper Duefrane removed Mr. Uhler's leg  
22       restraints and escorted him to the front porch. Trooper Duefrane later wrote  
23       in his report: "The front porch was connected to the garage by a covered  
24       breezeway. I observed a front door at the end of the breezeway that led up  
25       3 stairs. To the left of upstairs there was a set of down stairs that led to a  
26       grass lawn with no path to the back of the house on the lake side."

27          5.41       Sergeant Stock spoke to Mrs. Uhler in the patrol car and Mr.  
28       Uhler on the front porch. Sergeant Stock and Trooper Duefrane then went  
29       with Mr. Uhler to the back yard. As Trooper Duefrane wrote later: "At  
30       approximately 2216 Uhler, Sgt. Stock and myself walked down the stairs,  
31       through the grass and onto the back covered patio. As I walked onto the  
32       lawn I was not able to observe any lights until I was at the back corner of  
33       the residence. Once we walked onto the covered concrete patio I was able  
34       to see into the windows and observed the T.V. was still on. Uhler explained  
35       where he and his wife were sitting and what he observed from inside the  
36       residence."

37          5.42       Trooper Duefrane then went with Mr. Uhler inside the house to  
38       retrieve additional clothes for Mr. and Mrs. Uhler.

1           5.43       When they came out of the house, Trooper Mertens told  
2 Trooper Duefrane that he planned to impound Mr. Uhler's truck and get a  
3 search warrant to look for the missing keys. Trooper Duefrane suggested  
4 that instead they ask Mr. Uhler to consent to the search.

5           5.44       Mr. Uhler agreed to the search and said "by all means look in  
6 there."

7           5.45       The search of Mr. Uhler's truck did not reveal any evidence of  
8 Mr. Hamid's missing keys.

9           5.46       Sergeant Stock informed the Troopers that he and the duty  
10 lieutenant had decided the Uhlers would not be taken to jail.

11           5.47       Trooper Duefrane removed the handcuffs from Mr. and Mrs.  
12 Uhler.

13           5.48       Sergeant Stock and Trooper Duefrane accompanied the Uhlers  
14 back inside their home, where Sergeant Stock apologized to the Uhlers for  
15 how the Troopers had conducted the encounter.

16           5.49       As a result of the Defendant Troopers' warrantless entry and  
17 excessive use of force, the Uhlers suffered past and ongoing physical  
18 injuries, humiliation, and emotional distress, among other injuries.

19           5.50       In the days following the March 11, 2016 incident, Mrs. Uhler  
20 sought medical attention for her back and shoulder injuries that Defendant  
21 Troopers caused during the incident.

22           5.51       In the days following the March 11, 2016 incident, Mr. Uhler  
23 sought medical attention for injuries to his shoulder, torso, and wrists that  
24 Defendant Troopers caused during the incident.

25 Dkt. #1 at ¶¶ 5.1 – 5.51. As a result, the Uhlers have filed the instant matter alleging a  
26 violation of their Fourth Amendment rights under 42 U.S.C. § 1983. Dkt. #1 at ¶ 6.1.

27           Defendants admit a large portion of the above factual allegations, but deny the facts  
28 surrounding the interactions between the Uhlers and the Troopers. Dkt. #12 at ¶¶ 5.1 – 5.51.  
They allege that the Uhlers acted in an escalating and aggressive manner, and yelled at the  
Troopers with "invectives and profanity." Dkt. #12 at ¶¶ 5.29-5.33.

1 Discovery has been proceeding in this case, with a discovery deadline of June 19, 2017.  
2 Dkt. #15. As part of the discovery process, counsel for Plaintiffs received from Defendants  
3 several proposed authorizations for the release of Plaintiffs' medical records. Dkt. #20 at ¶ 1.  
4 The proposed authorizations requested that Plaintiffs authorize the release of all medical  
5 records and information from each provider, including but not limited to:

7 Any and all records regarding my medical, psychological, social, or  
8 psychiatric conditions; drug, alcohol, or other substance use or abuse;  
9 history, clinical findings, diagnoses, prognoses, treatment,  
10 recommendations for future care, general notes, second opinions, and  
11 referrals to or from other health care providers; charges, billings, payments,  
12 itemized statement of account, liens, pharmacy prescriptions, and reports;  
13 all letters and memos sent or received, chart notes, nurse's notes, all test and  
laboratory results; all x-ray reports; and records regarding sexually  
transmitted diseases including HIV results, regardless of the form of the  
records whether written, tape recorded, video taped, photographic, or other  
imaging by another method.

14 See Dkt. #20 at ¶ 3, Exs. 3 and 4.

15 Plaintiffs' counsel objected to the proposed authorizations. See Dkt. #20 at ¶ 2. At the  
16 time Defendants requested the authorizations, they had already deposed Plaintiffs, who had  
17 testified to the nature of their physical injuries. Eric Uhler testified that he experienced  
18 shoulder muscle pain and was treated for that by an urgent care doctor and his family  
19 physician. Dkt. #20 at ¶ 5, Ex. 5 at 70:18–71:23 and 90:24–91:6. Lysa Uhler testified that she  
20 experienced and had sought treatment for back and shoulder pain and that the incident  
21 aggravated a prior herniated disc injury she sustained in 2002. Dkt. #20 at ¶ 6, Ex. 6 at 91:15–  
22 21, 92:15–93:22, 99:21–100:19, 120:19–122:9, 138:6–23 and 139:25–141:21. Plaintiffs had  
23 previously provided records of treatment for those injuries with their initial disclosures. Dkt.  
24 #20 at ¶ 1.  
25  
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1 Plaintiffs' counsel represented to Defendants that neither of the Uhlers were claiming  
2 that the incident caused or exacerbated any specific psychological condition, they had not  
3 sought treatment or counseling for any psychological condition, and they would not rely on any  
4 medical or expert testimony to support their claim for emotional distress damages. Dkt. #20 at  
5 ¶ 2. Accordingly Plaintiffs stated they would authorize the release of medical records related to  
6 their claimed injuries (including records of medical treatment before the incident that could  
7 show prior injuries), but they would not authorize the release of unrelated records, including  
8 mental health records. *Id.*

10 Counsel conferred by telephone on December 22, 2016. Dkt. #20 at ¶ 3. The parties  
11 agreed that Plaintiffs would provide revised stipulations representing the scope of medical  
12 records they believe are discoverable. *Id.* at ¶3 and Exs. 3 and 4 thereto. Plaintiffs did so, and  
13 the Uhlers specifically did not authorize the release of records of "psychological, social, or  
14 psychiatric conditions"; "drug, alcohol, or other substance use or abuse"; or "records regarding  
15 sexually transmitted diseases including HIV results." *Id.* Plaintiffs also did not authorize the  
16 release of any records from Rite Aid pharmacy because any relevant prescriptions would be  
17 duplicative of the records from their medical providers. *Id.*

20 On January 9, 2017, Plaintiffs' counsel received Defendants' notices of intent to  
21 subpoena medical records for Eric Uhler from UW Physicians, Maple Valley UW Medical  
22 Clinic, UW Issaquah Neighborhood Clinic, and Rite-Aid Pharmacy; and notices of intent to  
23 subpoena medical records for Lysa Uhler from Bellevue Sports & Spine Specialists, CDI  
24 Renton East Valley, Overlake MRI, Proliance Orthopaedics & Sports Medicine, Rite-Aid  
25 Pharmacy, UW Issaquah Neighborhood Clinic, Maple Valley UW Medical Clinic, and UW  
26 Physicians. Dkt. #20 at ¶ 4, Exs. 1 and 2. The notices of intent included the same broad  
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28



1 description of medical records as the original authorizations proposed by Defendants. *Id.* The  
2 instant motion followed.

### 3 4 **III. DISCUSSION**

#### 5 **A. Legal Standards**

6  
7 A party may serve a subpoena commanding a nonparty “to produce documents,  
8 electronically stored information, or tangible things.” Fed. R. Civ. P. 45(a)(1)(C). The  
9 subpoena is subject to the relevance requirements set forth in Rule 26(b). Under Federal Rule  
10 of Civil Procedure 26(b)(1), “[u]nless otherwise limited by court order, the scope of discovery  
11 is as follows: Parties may obtain discovery regarding any nonprivileged matter that is relevant  
12 to any party’s claim or defense and proportional to the needs of the case, considering the  
13 importance of the issues at stake in the action, the amount in controversy, the parties’ relative  
14 access to relevant information, the parties’ resources, the importance of the discovery in  
15 resolving the issues, and whether the burden or expense of the proposed discovery outweighs  
16 its likely benefit. Information within this scope of discovery need not be admissible in evidence  
17 to be discoverable.” However, “[a]ll discovery is subject to the limitations imposed by Rule  
18 26(b)(2)(C).” *Id.*

19  
20  
21 In deciding whether to restrict discovery under Rule 26(b)(2)(C) “the court should  
22 consider the totality of the circumstances, weighing the value of the material sought against the  
23 burden of providing it, and taking into account society’s interest in furthering the truth-seeking  
24 function in the particular case before the court.” *Smith v. Steinkamp*, 2002 U.S. Dist. LEXIS  
25 11227, 2002 WL 1364161, at \*6 (S.D. Ind. May 22, 2002) (quoting *Patterson v. Avery*  
26 *Dennison Corp.*, 281 F.3d 676, 681 (7th Cir. 2002) (internal quotations omitted)). *See also*  
27  
28

1 *Rowlin v. Alabama Dep't. of Pub. Safety*, 200 F.R.D. 459, 461 (M.D. Ala. 2001) (explaining  
2 that “courts have the duty to pare down overbroad discovery requests under Rule 26(b)(2) . . .  
3 The court should consider the totality of the circumstances, weighing the value of the material  
4 sought against the burden of providing it, discounted by society’s interest in furthering the  
5 truthseeking function”) (citing *Sanchez v. City of Santa Ana*, 936 F.2d 1027, 1033-34 (9th Cir.  
6 1990)). In addition, Chief Justice John Roberts has noted the importance of the 2015  
7 amendments to Rule 26. John Roberts, 2015 Year-End Report on the Federal Judiciary (Dec.  
8 31, 2015), available at [http://www.supremecourt.gov/publicinfo/year-end/2015year-](http://www.supremecourt.gov/publicinfo/year-end/2015year-endreport.pdf)  
9 [endreport.pdf](http://www.supremecourt.gov/publicinfo/year-end/2015year-endreport.pdf). The Chief Justice has written that the changes that went into effect on  
10 December 1, 2015, “may not look like a big deal at first glance, but they are.” *Id.* at 5. He  
11 went on to discuss that the amendments to Rule 26(b)(1) emphasize the need to impose  
12 “reasonable limits on discovery through increased reliance on the common-sense concept of  
13 proportionality.” *Id.* at 5-6.

14  
15  
16 A party lacks standing under Federal Civil Rule 45(c)(3) to challenge a subpoena issued  
17 to a non-party unless the party claims a personal right or privilege with respect to the  
18 documents requested in the subpoena. *Nova Products, Inc. v. Kisma Video, Inc.*, 220 F.R.D.  
19 238, 241 (S.D.N.Y. 2004); *In re: Cree Inc. Securities Litig*, 220 F.R.D. 443 (M.D.N.C. 2004).  
20 A party may move for a protective order in regard to a subpoena issued to a non-party if he or  
21 she believes his or her own interest is jeopardized by the discovery sought by a third party, and  
22 has standing under Rule 26(c) to seek a protective order regarding subpoenas issued to non-  
23 parties which seek irrelevant information. *See Auto-Owners Ins. Co. v. Southeast Floating*  
24 *Docks, Inc.*, 231 F.R.D. 426, 429 (M.D. Fla. 2005); *Washington v. Thurgood Marshall Acad.*,  
25 230 F.R.D. 18, 22 (D.D.C. 2005) (deeming a party’s motion to quash subpoenas issued to non-  
26  
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1 parties as a motion for protective order under Rule 26(c)); *see also Moon*, 232 F.R.D. at 636-  
2 37.

### 3 **B. Medical Releases**

4 Plaintiffs ask this Court to enter a Protective Order limiting the scope of discovery of  
5 their medical records by Defendants. Plaintiffs acknowledge that medical records related to  
6 their alleged injuries, including records of pre-existing conditions, are relevant and should be  
7 produced. Dkt. #19 at 4. However, Plaintiffs assert that they have not put their entire medical  
8 history at issue in this case, and therefore discovery should be limited. *Id.* Specifically,  
9 Plaintiffs argue that Defendants are not entitled to records of mental health treatment, and they  
10 are not entitled to records of any medical treatment that is not related to their claimed injuries.  
11 *Id.* at 5-7.

12  
13  
14 Defendants respond that Plaintiffs' psychological, social or psychiatric conditions, as  
15 well as any drug, alcohol, and other substance use or abuse are directly relevant to their claimed  
16 damages and their behavior, and to Plaintiffs' ability to accurately perceive, recollect, and  
17 narrate the events of March 11, 2016. Dkt. #21 at 2.

18  
19 With respect to Plaintiffs' claimed damages, the Court is not persuaded by Defendants  
20 that any psychological/psychiatric records and/or substance use records are relevant. Plaintiffs  
21 are clear that they claim only garden-variety emotional distress. Garden-variety emotional  
22 distress has been described by one court as "ordinary or common place emotional distress,"  
23 which is "simple or usual." *Fitzgerald v. Cassil*, 216 F.R.D. 632, 637 (N.D. Cal. 2003). It is  
24 contrasted to emotional distress that "'may be complex, such as that resulting in a specific  
25 psychiatric disorder'." *Id.* (quoting *Ruhlmann v. Ulster County Dep't of Soc. Servs.*, 194  
26 F.R.D. 445, 449 n.6 (N.D.N.Y. 2000)). When making allegations of "garden-variety"  
27  
28

1 emotional distress, a plaintiff does not rely on medical records or medical expert testimony for  
2 proof at trial. *See, e.g., EEOC v. Wal-Mart Stores, Inc.*, 276 F.R.D. 637, 640-41 (E.D. Wash.  
3 2011) (collecting cases and finding that the physician-patient privilege was not waived as to  
4 plaintiff's medical records because she intended to support her garden-variety emotional  
5 distress damages through evidence other than medical records or expert testimony).

6  
7 Likewise, the Court is not persuaded that Defendants require Plaintiffs'  
8 psychological/psychiatric records or records pertaining to substance use in order to present  
9 evidence of Plaintiffs' state of mind on the night of the incident. In fact, Defendants admit that  
10 they already have Plaintiffs' own testimony that they consumed alcohol prior to the car  
11 accident, and prior to their interactions with the Troopers, and that they consumed prescription  
12 medications the same day. Dkt. #21 at 2. Defendants can use that information to test  
13 Plaintiffs' perceptions and state of mind with respect to their interactions with the Troopers.  
14

15 For all of the the reasons asserted by Plaintiffs, the Court agrees that the records sought  
16 by Defendants are not proportional to the needs of this case, and that the proposed subpoenas  
17 are overbroad. *See* Dkt. #23. As a result, the Court will grant Plaintiff's motion for a  
18 Protective Order.  
19

#### 20 IV. CONCLUSION

21 Accordingly, having reviewed Plaintiffs' motion, Defendant's opposition thereto, and  
22 Plaintiffs' reply in support thereof, along with the remainder of the record, the Court hereby  
23 finds and ORDERS that Plaintiffs' Motion for Protective Order (Dkt. #19) is GRANTED.  
24 Discovery of Plaintiffs' medical records shall be limited to the authorizations signed by  
25 Plaintiffs (Dkt. #20, Exs. 3 and 4).  
26

27 //

DATED this 10<sup>th</sup> day of February 2017.

A handwritten signature in black ink, appearing to read 'RSM', is written over a horizontal line.

RICARDO S. MARTINEZ  
CHIEF UNITED STATES DISTRICT JUDGE